

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|--------------------|------------|------------|
| Atty. Docket No. | 08049.0006-00000 | Appln. No. | 09/809,326 |
| Applicant | Jon L. COOK et al. | | |
| Filing Date | March 16, 2001 | Group: | 2131 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|---------------|-------|-----------|----------------------------|
| ERC | 5,943,656 | 08/24/99 | CROOKS et al. | | | |
| ERC | 6,035,402 | 03/07/00 | VAETH et al. | | | |
| ERC | 6,233,565 | 05/15/01 | LEWIS et al. | | | |
| ERC | 6,285,991 | 09/04/01 | POWAR | | | |
| ERC | 6,289,323 | 09/11/01 | GORDON et al. | | | |

RECEIVED JUN 2 8 2004 Technology Center 2100

FOREIGN PATENT DOCUMENTS

| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|--------------------|------------------|---------|-------|-----------|-----------------------|
| ERC WO 01/18718 A1 | 03/15/01 | WIPO | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|-----|---|
| ERC | "CONNECT announces OneServer interactive online application platform," Business Wire, April 10, 1995, page 4101043, retrieved from DIALOG, DIALOG No.: 07797909 (2 pages). |
| ERC | International Preliminary Examination Report in PCT/US01/08487, mailed January 23, 2002 (4 pages). |
| ERC | International Preliminary Examination Report in PCT/US01/08488, mailed June 11, 2003 (11 pages). |
| ERC | International Search Report in PCT/US 01/08490, mailed January 22, 2002 (6 pages). |
| ERC | "Joint Direct Bill Payment Form for Mich. Utilities," Bank Technology News, April 1994, page 8, retrieved from DIALOG, DIALOG No.: 03302326 (1 page). |
| ERC | NEWKIRK, K.M., "Banks can slice transaction costs," Independent Banker, December 1995, vol. 45, no. 12, pages 52-53, retrieved from DIALOG, DIALOG No.: 00276769 (3 pages). |
| ERC | "Recommendation F.400/X.400 message handling and directory services -- operation and definition of service," November 14, 1988, CCITT, MELBOURNE, XP002186347 (10 pages). |
| ERC | U.S. Patent Application Publication No. US 2001/0032181 A1, dated October 18, 2001. |
| ERC | U.S. Patent Application Publication No. US 2002/0049672 A1, dated April 25, 2002. |

| | | | |
|---------------|--|-----------------|--------|
| Examiner | Cosimano, E | Date Considered | 2-5-05 |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |
| Form PTO 1449 | Patent and Trademark Office - U.S. Department of Commerce | | |

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|--------------------|------------|------------|
| Atty. Docket No. | 08049.0006-00000 | Appln. No. | 09/809,326 |
| Applicant | Jon L. COOK et al. | | |
| Filing Date | March 16, 2001 | Group: | 2131 |

| U.S. PATENT DOCUMENTS | | | | | | |
|--|-----------------|------------|-----------------|-------|-----------|----------------------------|
| Examiner | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
| ERIC SEP 01 2004 PATENT & TRADEMARK OFFICE | Re. 34,954 | 05/30/1995 | HABER et al. | | | |
| | 4,135,662 | 01/23/1979 | DLUGOS | | | |
| | 4,309,569 | 01/05/1982 | MERKLE | | | |
| | 4,574,352 | 03/04/1986 | COPPOLA et al. | | | |
| | 4,725,718 | 02/16/1988 | SANSONE et al. | | | |
| | 4,727,368 | 02/23/1988 | LARSON et al. | | | |
| | 5,043,908 | 08/27/1991 | MANDULEY et al. | | | |
| | 5,136,646 | 08/04/1992 | HABER et al. | | | |
| | 5,136,647 | 08/04/1992 | HABER et al. | | | |
| | 5,223,829 | 06/29/1993 | WATABE | | | |
| | 5,373,561 | 12/13/1994 | HABER et al. | | | |
| | 5,404,231 | 04/04/1995 | BLOOMFIELD | | | |
| | 5,638,446 | 06/10/1997 | RUBIN | | | |
| | 5,701,770 | 12/30/1997 | COOK et al. | | | |
| | 5,710,887 | 01/20/1998 | CHELLIAH et al. | | | |
| | 5,774,053 | 06/30/1998 | PORTER | | | |
| | 5,774,086 | 06/30/1998 | GUYOT | | | |
| | 5,781,629 | 07/14/1998 | HABER et al. | | | |
| | 5,857,188 | 01/05/1999 | DOUGLAS | | | |
| | 5,870,549 | 02/09/1999 | BOBO, II | | | |
| | 5,878,233 | 03/02/1999 | SCHLOSS | | | |
| | 5,917,411 | 06/29/1999 | BAGGARLY | | | |
| | 5,917,925 | 06/29/1999 | MOORE | | | |
| | 5,971,587 | 10/26/1999 | KATO et al. | | | |
| | 5,979,750 | 11/09/1999 | KINDELL | | | |
| | 6,006,200 | 12/21/1999 | BOIES et al. | | | |
| | 6,028,517 | 02/22/2000 | SANSONE et al. | | | |
| ERIC | 6,047,264 | 04/04/2000 | FISHER et al. | | | |

REC'D

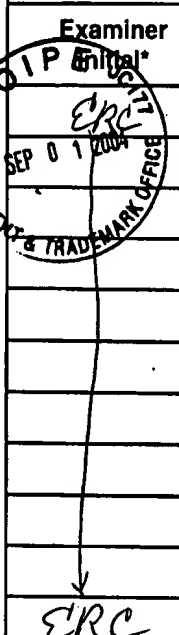
SEP 03 2004

GROUP 3000

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|--------------------|------------|------------|
| Atty. Docket No. | 08049.0006-00000 | Appln. No. | 09/809,326 |
| Applicant | Jon L. COOK et al. | | |
| Filing Date | March 16, 2001 | Group: | 2131 |

U.S. PATENT DOCUMENTS


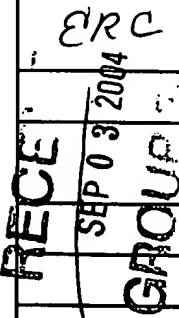
| Examiner Initials* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---|-----------------|------------|------------------|-------|-----------|----------------------------|
|  | 6,064,995 | 05/16/2000 | SANSONE et al. | | | |
| | 6,138,910 | 10/31/2000 | MADRUGA | | | |
| | 6,152,369 | 11/28/2000 | WILZ, SR. et al. | | | |
| | 6,219,669 | 04/17/2001 | HAFF et al. | | | |
| | 6,243,620 | 06/05/2001 | ROBINSON et al. | | | |
| | 6,246,925 | 06/12/2001 | ROBINSON et al. | | | |
| | 6,259,964 | 07/10/2001 | ROBINSON | | | |
| | 6,323,782 | 11/27/2001 | STEPHENS et al. | | | |
| | 6,347,737 | 02/19/2002 | MADRUGA | | | |
| | 6,442,571 | 08/27/2002 | HAFF et al. | | | |
| | 2003/0077409 | 04/24/2003 | SCHNELL | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----|-----------------|------------------|---------|-------|-----------|-----------------------|
| ERC | EP 0 516 898 A1 | 12/09/1992 | EUROPE | | | |
| ERC | WO 97/12460 | 04/03/1997 | WIPO | | | |
| ERC | WO 00/13368 | 03/09/2000 | WIPO | | | |
| | WO 01/00069 | 01/04/2001 | WIPO | | | |
| | WO 01/65444 | 09/07/2001 | WIPO | | | |
| | WO 01/99005 | 12/27/2001 | WIPO | | | |
| | WO 01/99009 | 12/27/2002 | WIPO | | | |
| | WO 01/99037 | 12/27/2001 | WIPO | | | |
| | WO 02/066344 | 08/29/2002 | WIPO | | | |
| | WO 02/079947 | 10/10/2002 | WIPO | | | |
| | WO 02/08961 | 01/31/2002 | WIPO | | | |
| | WO 02/21315 | 03/14/2002 | WIPO | | | |
| ERC | WO 03/023677 | 03/20/2003 | WIPO | | | |

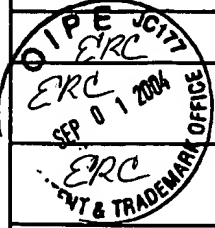
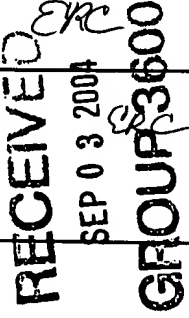
INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|--------------------|------------|------------|
| Atty. Docket No. | 08049.0006-00000 | Appln. No. | 09/809,326 |
| Applicant | Jon L. COOK et al. | | |
| Filing Date | March 16, 2001 | Group: | 2131 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|--|
|  | Co-Pending Application No. 09/675,677; Attorney Docket No. 08049.0001-00000; Title: SYSTEMS AND METHODS FOR AUTHENTICATING AN ELECTRONIC MESSAGE; U.S. Filing Date: September 29, 2000. |
| | Co-Pending Application No. 09/809,325; Attorney Docket No. 08049.0002-00000; Title: METHODS AND SYSTEMS FOR PROOFING IDENTITIES USING A CERTIFICATE AUTHORITY; U.S. Filing Date: March 16, 2001. |
| | Co-Pending Application No. 09/809,328; Attorney Docket No. 08049.0003-00000; Title: METHODS AND SYSTEMS FOR ESTABLISHING AN ELECTRONIC ACCOUNT FOR A CUSTOMER; U.S. Filing Date: March 16, 2001. |
| | Co-Pending Application No. 09/809,312; Attorney Docket No. 08049.0004-00000; Title: METHODS AND SYSTEMS FOR PROVIDING A SECURE ELECTRONIC MAILBOX; U.S. Filing Date: March 16, 2001. |
| | Co-Pending Application No. 09/809,581; Attorney Docket No. 08049.0005-00000; Title: METHODS AND SYSTEMS FOR PROVIDING AN ELECTRONIC ACCOUNT TO A CUSTOMER; U.S. Filing Date: March 16, 2001. |
|  | Article 34 Amendments - Letter Accompanying Replacement Sheets from PCT/US01/19698 (published as WO 01/99009), dated January 18, 2002, 2 pages. |
| | International Preliminary Examination Report in PCT/US01/08488, mailed June 11, 2003, 10 pages. |
| | International Preliminary Examination Report in PCT/US01/19384, mailed January 17, 2003, 4 pages. |
| | International Preliminary Examination Report in PCT/US01/19421, mailed May 16, 2002, 4 pages. |
| | International Preliminary Examination Report in PCT/US01/23177, mailed May 3, 2002, 4 pages. |
| | International Preliminary Examination Report in PCT/US01/28001, mailed August 8, 2003, 4 pages. |
| | International Search Report in PCT/US00/27068, mailed May 4, 2001, 4 pages. |
| | International Search Report in PCT/US01/23177, mailed October 18, 2001, 4 pages. |
| | International Search Report in PCT/US01/19384, mailed November 16, 2001, 6 pages. |
| | International Search Report in PCT/US01/19421, mailed November 19, 2001, 6 pages. |
| | International Search Report in PCT/US01/27690, mailed November 19, 2001, 5 pages. |
| | International Search Report in PCT/US01/28001, mailed December 21, 2001, 7 pages. |
| | International Search Report in PCT/US02/04866, mailed May 23, 2002, 1 page. |
| | International Search Report in PCT/US02/09870, mailed December 5, 2002, 2 pages. |
| | PCT Written Opinion in PCT/US01/08488, mailed October 28, 2002, 9 pages. |
| | PCT Written Opinion in PCT/US01/19384, mailed June 17, 2002, 4 pages. |
| ERC | PCT Written Opinion in PCT/US02/04866, mailed May 23, 2003, 4 pages. |

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|--------------------|------------|------------|
| Atty. Docket No. | 08049.0006-00000 | Appln. No. | 09/809,326 |
| Applicant | Jon L. COOK et al. | | |
| Filing Date | March 16, 2001 | Group: | 2131 |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|--|
|  | PCT Written Opinion in PCT/US02/09870, mailed March 6, 2003, 5 pages. |
| | Bhushan et al., Federated Accounting: Service Charging and Billing in a Business-to-Business Environment, 2001 IEEE/IFIP International Symposium, May 14-18, 2001, pp. 107-121, 15 pages. |
| | Czerny, Customer Account Management System for STEWEAG Key Accounts, CIRED 97, June 2-5, 1997, Conference Publication No. 438, pp. 5.6.1-5.6.5, 5 pages. |
| ERC | Bartholomew, "The future of snail mail: the Postal Service is gearing up for competition. But can it deliver?" Information Week, December 4, 1995, n556, starting page 34, 6 pages. |
| ERC | FedEx® eBusiness Tools: FedEx® Ship Manager Software, http://www.fedex.com/us/ebusiness/eshipping/ship.html , website printout dated November 28, 2001, 2 pages. |
| ERC | FedEx® Customer Service Fast Facts, Back Issue, October 11, 2000, http://www.fedex.com/us/customer/fastfacts/backissues/101100fastfacts.html , website printout dated November 28, 2001, 4 pages. |
|  | Stafford et al., Delivering Marketing Expertise to the Front Lines, IEEE Expert, April 1994, pp. 23-32, 10 pages. |
| | Appendix K (USPS Burke Test Site Report), "User Interface Review of Mail Item Retrieval System (MIRS), Burke Station, Burke Virginia," December 24, 1998; appendix of USPS Mail Item Retrieval System (MIRS) Phase 2 Limited Production Self-Service Statement of Work (SOW) (SOW can be found in U.S. Provisional Application Serial No. 60/255,407, filed December 15, 2000, which is incorporated by reference into WO 01/99037), 14 pages. |
| ERC | Appendix L, "In-plant Test Report (Re-Test Failed Items), Mail Item Retrieval System (MIRS)," October 21, 1998; appendix of USPS Mail Item Retrieval System (MIRS) Phase 2 Limited Production Self-Service Statement of Work (SOW) (SOW can be found in U.S. Provisional Application Serial No. 60/255,407, filed December 15, 2000, which is incorporated by reference into WO 01/99037), 13 pages. |
| ERC | Appendix M, "Heuristic Evaluation of the USPS Mail Item Retrieval System Early Prototype," by Hoffman et al, Mitretek Systems, May 11, 1998; appendix of USPS Mail Item Retrieval System (MIRS) Phase 2 Limited Production Self-Service Statement of Work (SOW) (SOW can be found in U.S. Provisional Application Serial No. 60/255,407, filed December 15, 2000, which is incorporated by reference into WO 01/99037), 19 pages. |

| | | | |
|---|---------------------|---|---------------|
| Examiner | <i>Cosimano, E.</i> | Date Considered | <i>2-5-05</i> |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |
| Form PTO 1449 | | Patent and Trademark Office - U.S. Department of Commerce | |